

Docket No.: 511582003500 (PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Mary FARIS et al.

Application No.: 09/809,638

Filed: March 14, 2001

For: 125P5C8: A TISSUE SPECIFIC PROTEIN

HIGHLY EXPRESSED IN VARIOUS

**CANCERS** 

Confirmation No.: 5083

Art Unit: 1643

Examiner: A. Harris

#### PETITION UNDER RULE 37 CFR §1.183

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §1.183, assignee and real-party-in-interest, Agensys, Inc. and co-inventors Pia M. CHALLITA-EID, Steve Chappell MITCHELL, Daniel E.H. AFAR, Arthur B. RAITANO, and Aya JAKOBOVITS respectfully state that co-inventor Mary FARIS refuses to join the application for patent, and requests that this application be accepted for examination and that the attached declaration be accepted without the signature of one of the co-inventors, Mary FARIS.

The pending claims and Declaration under 37 C.F.R. § 1.131 were presented to Mary FARIS for review and execution. Inventor FARIS refused to execute the papers. Below is a brief description of attempts made to contact Mary Faris regarding the Declaration.

1. On January 27, 2006 the Declaration was sent to her via UPS (copy attached as Exhibit A). UPS on-line tracking details indicates that this package was delivered on January 31, 2006 (copy attached as Exhibit B).

- 2. On February 6, 2006 a telephone message was left at Mary FARIS' residence regarding the Declaration sent to her on January 27, 2006 via UPS. She was asked to return the call and to state status of execution.
- 3. On February 7, 2006 a second attempt to contact inventor FARIS via telephone was made. Because no one answered, a message was left requesting inventor FARIS to return the call.
- 4. On February 9, 2006, a third attempt to contact inventor FARIS via telephone was made. Again, no one answered and another message was left requesting inventor FARIS to return the call.
- 5. On February 13, 2006, a fourth telephonic attempt to contact inventor FARIS was made. Again, no one answered and a fourth message was left requesting inventor FARIS to return the call.
- 6. On February 14, 2005, a second copy of the package, which enclosed the Declaration, prevsiously sent on January 27, 2006 via UPS, was sent again via UPS to inventor FARIS (copies attached as Exhibit C). UPS on-line tracking details indicates that this package was delivered on February 15, 2006 (copy attached as Exhibit D).
- 7. On February 23, 2006, a fifth telephonic message was left requesting inventor FARIS to confirm receipt of the package delivered by UPS on February 15, 2006.

In addition, attached as Exhibit E is a collection of e-mails documenting the telephonic attempts to contact inventor FARIS. Attached as Exhibit F is a copy of the Assignment signed by all inventors including Mary FARIS and recorded with the USPTO. Attached as Exhibit G, is a copy of the original Declaration as it was filed and signed by all inventors including Mary FARIS.

Application No.: 09/809,638 3 Docket No.: 511582003500

Mary FARIS' last known residential address and telephone number is:

2538 Almaden Court Los Angeles, California 90077 Residence telephone: (310) 859-8508

This also is believed to be her current residential address, and telephone number.

Kindly accept this petition under 37 CFR §1.183, and issue a notice of same.

In the event the U.S. Patent and Trademark office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket No. <u>511582003500</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 15, 2006

Respectfully submitted,

James J. Mullen III, Ph.D.

Registration No.: 44,957

MORKÍSON & FOERSTER LLP

12531 High Bluff Drive

Suite 100

San Diego, California 92130-2040

(858) 720-7940



PTO/SB/17p (11-04)
Approved for use through 7/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

### PETITION FEE Under 37 CFR 1.17(f), (g) & (h) TRANSMITTAL (Fees are subject to annual revision)

Send completed form to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Application Number	09/809,638	
Filing Date	March 14, 2001	
First Named Inventor	Mary FARIS	
Art Unit	1643	
Examiner Name	A. Harris	
Attorney Docket Number	511582003500	

Enclosed is a petition filed under 37 CFR 1.183 that requires a processing fee (37 CFR 1.17(f)).  (g), or (h)). Payment of \$ 800.00 is enclosed.  This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.					
Payment of Fees (small entity amounts are NOT available for the petition					
The Commissioner is hereby authorized to charge the following feet    X   Petition fee under 37 CFR 1.17(f), (g) or (h)   X   Any of the Enclose a duplicative copy of this form for fee processing.	s to Deposit Account No. 03-1952 :				
Check in the amount of \$ is enclosed.					
Payment by credit card (Form PTO-2038 or equivalent enclosed). D	Oo not provide credit card information on this form.				
Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462 For petitions filed under. § 1.53(e) – to accord a filing date. § 1.57(a) – to accord a filing date. § 1.182 – for decision on a question not specifically provided for. § 1.183 – to suspend the rules. § 1.378(e) – for reconsideration of decision on petition refusing to accept delayed pa	nyment of maintenance fee in an expired patent.				
§ 1.741(b) – to accord a filing date to an application under § 1.740 for extension of a Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463	patent term.				
For petitions filed under.  § 1.12 – for access to an assignment record.  § 1.14 – for access to an application.  § 1.47 – for filing by other than all the inventors or a person not the inventor.  § 1.59 – for expungement of information.  § 1.103(a) – to suspend action in an application.  § 1.136(b) – for review of a request for extension of time when the provisions of sect § 1.295 – for review of refusal to publish a statutory invention registration.  § 1.296 – to withdraw a request for publication of a statutory invention registration filed on § 1.377 – for review of decision refusing to accept and record payment of a maintent § 1.550(c) – for patent owner requests for extension of time in ex parte reexamination § 1.956 – for patent owner requests for extension of time in interpartes reexamination § 5.12 – for expedited handling of a foreign filing license.  § 5.15 – for changing the scope of a license.  § 5.25 – for retroactive license.	or after the date the notice of intent to publish issued. ance fee filed prior to expiration of a patent. in proceedings.				
Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464  For petitions filed under.  § 1.19(g) – to request documents in a form other than that provided in this part.  § 1.84 – for accepting color drawings or photographs.  § 1.91 – for entry of a model or exhibit.  § 1.102(d) – to make an application special.  § 1.138(e) to expressly abandon an application to avoid publication.  § 7.313 – to windpraw an application from issue.  § 1.314 – to defer issuance of a patent.					
Signature	March 15, 2006  Date				
Signature					
James J. Mullen III, Ph.D.	44,957  Registration No., if applicable				
Typed or printed name	negistration No., ii applicable				

### MORRISON

FOERSTER

12531 HIGH BLUFF DRIVE SUITE 100 SAN DIEGO

CALIFORNIA 92130-2040

TELEPHONE: 858.720.5100 FACSIMILE: 858.720.5125

WWW.MOFO.COM

MORRISON & FOERSTER LLP
NEW YORK, SAN FRANCISCO,
LOS ANGELES, PALO ALTO,
SAN DIEGO, WASHINGTON, D.C.
DENVER, NORTHERN VIRGINIA,
ORANGE COUNTY, SACRAMENTO,
WALNUT CREEK, CENTURY CITY
TOKYO, LONDON BELLING

TOKYO, LONDON, BEIJING, SHANGHAI, HONG KONG, SINGAPORE, BRUSSELS

Writer's Direct Contact (858) 720-7940 jmullen@mofo.com

January 27, 2006

### VIA UPS

Dr. Mary Faris 2538 Almaden Court Los Angeles, CA 90077

Re:

US Patent Application Serial No. 09/809,638

For: 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN

**VARIOUS CANCERS** 

By: Mary FARIS et al.

Our Reference: 51158-20035.00

Dear Dr. Faris:

Enclosed is a Declaration by Inventors under 37 C.F.R. § 1.131 in connection with the above-identified patent application. Please review the Declaration and if all is in order, sign and date where indicated, and return the document to us in the enclosed UPS return envelope.

This is an urgent matter. Accordingly, we would appreciate your prompt attention to the enclosed documents. I will be contacting you shortly to discuss the matter. In the meantime, if you have any questions or comments, please do not hesitate to contact us.

Best regards,

James J. Mullen III, Ph.D.

JJM/glyl Enclosure

UPS Tracking No.: 1Z A11 7OR 37 1000 968 6

uns	UPS 2nd Day Air®	WEIGHT WEIGHT WEIGHT WEIGHT PACKAGE RELEASE  WEIGHT # DIMENSIONAL WEIGHT PACKAGE RELEASE  1 Applicable   PACKAGE   2   2   2   2
прз	Shipping Document	S 2ND DAY
See Instructions (for additional in	on back. Visit UPS.com® or call 1-800-PICK-UPS® (800-742-5877) formation and Terms and Conditions.	AIR CHARGE
TRACKING N	4- 444 700 77 1000 049 6	SATURDAY PICKUP  See instructions.  S ### ### ### ### ### ###############
SHIPMENT FR SHIPPER'S UPS ACCOUNT	ROM	OPTIONAL SERVICES  DECLARED VALUE S S S S S S S S S S S S S S S S S S S
REFERENCE NUM		C.O.D. If CO.D. enter amount to be Collected and attach completed UPS C.O.D. tag to package.  S. S
NAME	8 - 2003 5 . 0 0 TELEPHONE 1 11 858-720-5179	7 ADDITIONAL HANDLING CHARGE An Additional Handling Charge applies for certain items. See instructions.
COMPANY	1ullen 655-725-5775	TOTAL CHARGES
	ON & FOERSTER	BILL SHIPPERS DAY PULL THIRD COENTY
STREET ADDRESS 12531 H	IGH BLUFF DR SUITE 100	METHOD OF PAYMENT  MAGRIF RECEIVER PARTY CARD American Express Chick Diner's Club MasserCard Visa  CARD Visa  CHECK  STATE STA
CITY AND STATE SAN DIE	GO CA 92130 2040	N SECTION 1
2 DELIVERY TO	TELEPHONE	THIRD PARTY'S COMPANY NAME
Dr. Ma	ary Faris	STREET ADDRESS
2538	Almoden Court	A N. A STATE OF THE STATE OF TH
STREET ADDRESS	DEPT./FLR. Residential Delivery	CITY AND STATE ZIP CODE
LOS AV	ngeles CA 9007/ ZIP CODE	The disper methods the to act as forwarding apast for expert control and customs purposes. The ribipour curtifies that these commodities, technology or substrate were exceeded from the United States in accordance with the Expert Administration Regulations, Diversion contrary to U.S. Iow is probabled.
CIT AND SINE	90077	DATE OF SHIPMENT SIGNATURE X 27 / 66
		All shipments are subject to the terms contained in the UPS Tariff and Terms and Conditions of Service, which are available at UPS.com and local UPS offices.  O201911252609 1/05 MW  SHIPPER'S COPY

Docket No.: 511582003500

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Mary FARIS, et al.

Application No.: 09/809,638

Filed: March 14, 2001

For: 125P5C8: A TISSUE SPECIFIC PROTEIN

HIGHLY EXPRESSED IN VARIOUS

**CANCERS** 

Art Unit: 1643

Examiner: A. Harris

# UNDER 37 C.F.R. § 1.131

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

We, the undersigned, declare as follows:

- 1. We are co-inventors of claims 1, 14 and 23, currently pending in the above-referenced application. The claims relate to an isolated 125P5C8 protein comprising the sequence of SEQ ID NO: 2 or a polynucleotide sequence encoding the codons for SEQ ID NO: 2, which is exemplified by the nucleotide sequence of SEQ ID NO:1.
- 2. The Office rejected claims 1, 14 and 23 as allegedly being anticipated by WO 200270539 A2, which was filed March 5, 2002. This PCT application claims priority to U.S. Application No. 09/799,451, which was filed March 5, 2001, and is now U.S. Patent No. 6,783,989.

Application No.: 09/809,638 Docket No.: 511582003500

A copy of WO 200270539 was submitted in an Information Disclosure Statement provided in this application mailed on April 5, 2001. This document discloses sequence 1397 which is identical to SEO ID NO: 2 of the present application.

- 3. We reduced the claimed invention to practice in the United States prior to the date U.S. Patent No. 6,783,989 was filed (March 5, 2001).
- 4. This reduction to practice is evidenced by a true and accurate copy of an email message sent to various members of the scientific staff by inventor Steve C. Mitchell on January 3, 2001. The email provides the nucleic acid and amino acid sequences of the material encompassed by the claims in the above-referenced patent application. A copy of this email is provided as Exhibit A.
- 5. The nucleotide sequence disclosed in Exhibit A consists of 2,103 nucleotides and 699 amino acids.
- 6. Exhibit B shows a comparison of the nucleotide sequence of SEQ ID NO:1 and the nucleotide sequence disclosed in Exhibit A. Every single nucleotide disclosed in SEQ ID NO:1 is present in the nucleotide sequence disclosed in Exhibit A. Accordingly, the email of Exhibit A clearly demonstrates the that nucleotide sequence of SEQ ID NO:1 was in our possession prior to the earliest priority date to which WO 200270539 (the cited art) is entitled to claim.
- 7. Exhibit C shows a comparison of the amino acid sequence of SEQ ID NO:2 and the amino acid sequence disclosed in Exhibit A. Every single amino acid residue disclosed in SEQ ID NO: 2 is present in the amino acid sequence disclosed in Exhibit A. Accordingly, the email of Exhibit A clearly demonstrates the that amino acid sequence of SEQ ID NO:2 was in our possession prior to the earliest priority date to which WO 200270539 (the cited art) is entitled to claim.

Application No.: 09/809,638 Docket No.: 511582003500

8. In view of the email provided as Exhibit A and the analysis of the sequences disclosed therein and shown in Exhibits B and C, we declare that the invention of the pending claims was reduced to practice in the United States prior to March 5, 2001, the earliest priority date available to the cited document.

We declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date	Executed at	
	(City/State)	Aya JAKOBOVITS
	(City/State)	Daniel E.H. AFAR
	(City/State)	Steve Chappell MITCHELL
	(City/State)	Pia M. CHALLITA-EID
	(City/State)	Arthur B. RAITANO
	(City/State)	Mary FARIS

### Popp, Shane

From:

Mitchell, Steve

Sent:

Wednesday, January 03, 2001 1:43 PM

To: Subject:

Scientists

125P5C8 reagents.

#### Colleagues.

The Company reagent for 125P5C8 (124P1B7/139P3A1) is pasted. It is a PCR based pCR2.1/TA clone(prostate) with three point differences from the Japanese (colon) reported hypothetical sequence. Two point are conserved for translation whereas the third point difference gives an amino acid change near the 3 prime end. This is verified with genomic sequencing.

TIGCAAAGGTACCTCAGAATTTGGGGATTCATTTTAGGACAGATTGTTCTTGTTGTTCTACGCATATGGTATACTTCACTAAACCCAATCTGGAGTTATCACGAACAAAGTGATACTGACACTAAACCCAATCTGGAGTTATCACGAACAAAGTGATACTGACACTTAAGTGCCACACTTGATCGTATTTGGCACAGATGGTGACTGCAG CCATGATATITTATCITCTAGAAATATTTTTCTGTGCCTGGTGCACAGCTTTTAAGTTTGTCCCAGGAGGTGTCTACGCTAGAGAAAG ATCAGATGTGCTTTTGGGGACAATGATGTTAATTATCGGGCTGAATATGCTATTTGGTCCTAAGAAAAACCTTGACTTGCTTCTTCAA ACAAAAAACAGTTCTAAAGTGCTTTTCAGAAAGAGTGAAAAATACATGAAACTTTTTCTGTGGCTGCTTGTTGGTGTGGGATTGTTGG CCAGAGGGCGAGATCGCACCAGCCATCACATTGACCGTTAACATTTCGGGCAAGCTGGTGGATTTTGTCGTGACACACTTTGGGAA CCACGAAGATGACCTCGACAGGAAACTGCAGGCTATTGCTGTTTCAAAACTACTGAAAAGTAGCTCTAATCAAGTGATATTTCTGGG ATATATCACTTCAGCACCTGGCTCCAGAGATTATCTACAGCTCACTGAACATGGCAATGTCAAGGATATCGACAGCACTGATCATGACAGGATGGTGAACATGATCAAGGATATCGACAGGATCATGATCATGAACATGGTGAACTGAACTGAGTGATCAGGAATCTCCCATGCTGAACTGAGTGATTCAGAAAT TCAGATGGCAAAATTTAGGATCCCTGATGACCCCCACTAATTATAGAGACCACAGAAAGTGGTCATAGACCACAGAGAAGTTTCTGA GAAAATTCATTTTAATCCCAGATTTGGATCCTACAAAGAAGGACACCAATTATGAAAACAACCATCATTTTCATATGAATACTCCCAAAT

ACTITITIATGAAAC

\*125P5C8(124P187/139P3A1) pCR2.1 subcione

\*125P5C8(124P187/139P3A1) pCR2.1 subcione

WITSLWREILLESLLGCVSWSLYHDLGPMIYYFPLQTLELTGLEGFSIAFLSPIFLTITPFWKLVNKKWMLTLLRITTIGSIASFQAPNAKLRLM

KLALGVSSSLVQAVTWWSGSHLQRYLRWGFILGONLVVLRIWYTSLNPIWSYQMSNKVILTLSALATLDRIGTDGDCSKPEEKKTGEVA

IGMASRPNWLLAGAAFGSLVFLTHWVFGEVSLVSRWAVSGHPHPGPDPNPFGGAVLLCLASGLMLPSCLWFRGTGLWWVTGTASAA

SLLYLHTWAAAVSGCVFAIFTASMWPQTLGHLINSGTNPGKTMTMAIFYLLEIFFCAWCTAFKFVPGGVYARERSDVLLGTMMLIIGLNML

IGPKKNLDLLLQTKNSSKVLFRKSEKYMKLFLWLVGVGLLGLGLRHKAYERKLGKVAPTKEVSAAIWPFRFGYDNEGWSSLERSAHLL

IETGA DEITH ESDA SKPYMGNNDI TMWLGEKLGFYTDFGPSTRYHTWGIMALSRYPIVKSEHHLLPSPEGEIAPATILTVNISGKLVDFVV ETGADFITILESDASKPYMGNNDLTMWLGEKLGFYTDFGPSTRYHTWGIMALSRYPIVKSEHHLLPSPEGEIAPAITLTVNISGKLVDFVV HFGNHEDOLDRKLQAIAVSKILKSSSNOVIFLGYITSAPGSRDYLQLTEHGNVKDIDSTDHDRWCEYIMYRGLIRLGYARISHAELSDSEI MAKFRIPDDPTNYRDNQKVVIDHREVSEKIHFNPRFGSYKEGHNYENINHHFHMINTPKYFL

ere Chappell Mitchell isociate Eclentist oGenesys 01 Coforado Avenue 01 Colorado Avenue nta Monica, CA 90404 I (310)820-8029 \$281 ¥ (310)820-8489 ali smitchel@urogenesys.com www.urogenesys.com

# Exhibit B A Comparison of SEQ ID NO.:1 and the Nucleotide Sequence of the Email

cg			ttcttggtct	
			agaactcact	
			tactcctttc	
			tattggcagc          tattggcagc	
			gcttggggtg          gcttggggtg	
			gcaaaggtac          gcaaaggtac	
			catatggtat           catatggtat	
			gacattaagt          gacattaagt	
		111111111	tgaagaaaag           tgaagaaaag	
			ggcaggggct          ggcaggggct	
			tcttgtttcc	

### Doc. No.: 51158-2003500

# Exhibit B A Comparison of SEQ ID NO.:1 and the Nucleotide Sequence of the Email

agatgggcag tgagtgggca tccacatcca gggccagatc ctaacccatt tggaggtgca SID#1	
gtactgctgt gcttggcaag tggattgatg cttccatctt gtttgtggtt tcgtggtact SID#1	
ggtttgatct ggtgggttac aggaacagct tcagctgcgg ggctccttta cctgcacaca SID#1	
tgggcagctg ctgtgtctgg ctgtgtcttc gccatcttta ctgcatccat gtggccccaa SID#1	
acacttggac accttattaa ctcagggaca aaccctggga aaaccatgac cattgccatg SID#1	
atatttatc ttctagaaat attttctgt gcctggtgca cagctttaa gtttgtccca SID#1	
ggaggtgtct acgctagaga aagatcagat gtgcttttgg ggacaatgat gttaattatc SID#1	
gggctgaata tgctatttgg tcctaagaaa aaccttgact tgcttcttca aacaaaaaac SID#1	
agttctaaag tgcttttcag aaagagtgaa aaatacatga aactttttct gtggctgctt SID#1	
gttggtgtgg gattgttggg attaggacta cggcataaag cctatgagag aaaactgggc SID#1	

# Exhibit B A Comparison of SEQ ID NO.:1 and the Nucleotide Sequence of the Email

aaagtggcac caaccaaaga ggtctctgct gccatctggc ctttcaggtt tggatatgac SID:	
aatgaagggt ggtctagtct agaaagatca gctcacctgc tcaatgaaac aggtgcagat SIDaatgaagggt ggtctagtct agaaagatca gctcacctgc tcaatgaaac aggtgcagat Emas	
ttcataacaa ttttggagag tgatgcttct aagccctata tggggaacaa tgacttaacc SID	
	i1
atgtggctag gggaaaagtt gggtttctat acagactttg gtccaagcac aaggtatcac SID	
atgtggctag gggaaaagtt gggtttctat acagactttg gtccaagcac aaggtatcac Emai	.1
acttggggga ttatggcttt gtcaagatac ccaattgtga aatctgagca tcaccttctt SID#	
ccgtcaccag agggcgagat cgcaccagcc atcacattga ccgttaacat ttcgggcaag SID#	
ctggtggatt ttgtcgtgac acactttggg aaccacgaag atgacctcga caggaaactg SID#	<b>†1</b>
ctggtggatt ttgtcgtgac acactttggg aaccacgaag atgacctcga caggaaactg Emai	.1
caggctattg ctgtttcaaa actactgaaa agtagctcta atcaagtgat atttctggga SID#	
caggctattg ctgtttcaaa actactgaaa agtagctcta atcaagtgat atttctggga Emai	
tatatcactt cagcacctgg ctccagagat tatctacagc tcactgaaca tggcaatgtg SID#	
aaggatatcg acagcactga tcatgacaga tggtgtgaat acattatgta tcgagggctg SID#	1
atcaggttgg gttatgcaag aatctcccat gctgaactga gtgattcaga aattcagatg SID#                            atcaggttgg gttatgcaag aatctcccat gctgaactga gtgattcaga aattcagatg	1

### Doc. . No.: 51158-2003500

# Exhibit B A Comparison of SEQ ID NO.:1 and the Nucleotide Sequence of the Email

	tgaccccact		acaaccagaa	agtggtcata	SID#1
	tgaccccact		acaaccagaa	agtggtcata	Email
	gaaaattcat			ctacaaagaa	SID#1
	gaaaattcat			ctacaaagaa	Email
	ccatcatttt		ctcccaaata	ctttttatga	SID#1
	ccatcatttt		ctcccaaata	ctttttatga	Email
aac SID#1		•			

aac SID#1 ||| aac Email

# Exhibit C A Comparison of SEQ ID NO.:2 and the Nucleotide Sequence of the Email

Met Thr Ser Leu Trp Arg Glu Ile Leu Leu Glu Ser Leu Leu Gly (SID #2) Met Thr Ser Leu Trp Arg Glu Ile Leu Leu Glu Ser Leu Leu Gly (Email) Cys Val Ser Trp Ser Leu Tyr His Asp Leu Gly Pro Met Ile Tyr Tyr (SID #2) Cys Val Ser Trp Ser Leu Tyr His Asp Leu Gly Pro Met Ile Tyr Tyr (Email) Phe Pro Leu Gln Thr Leu Glu Leu Thr Gly Leu Glu Gly Phe Ser Ile (SID #2) Phe Pro Leu Gln Thr Leu Glu Leu Thr Gly Leu Glu Gly Phe Ser Ile (Email) Ala Phe Leu Ser Pro Ile Phe Leu Thr Ile Thr Pro Phe Trp Lys Leu (SID #2) Ala Phe Leu Ser Pro Ile Phe Leu Thr Ile Trp Pro Phe Trp Lys Leu (Email) Val Asn Lys Lys Trp Met Leu Thr Leu Leu Arg Ile Ile Thr Ile Gly (SID #2) Val Asn Lys Lys Trp Met Leu Thr Leu Leu Arg Ile Ile Thr Ile Gly (Email) Ser Ile Ala Ser Phe Gln Ala Pro Asn Ala Lys Leu Arg Leu Met Val (SID #2) Ser Ile Ala Ser Phe Gln Ala Pro Asn Ala Lys Leu Arg Leu Met Val (Email) Leu Ala Leu Gly Val Ser Ser Ser Leu Ile Val Gln Ala Val Thr Trp (SID #2) Leu Ala Leu Gly Val Ser Ser Ser Leu Ile Val Gln Ala Val Thr Trp (Email) Trp Ser Gly Ser His Leu Gln Arg Tyr Leu Arg Ile Trp Gly Phe Ile (SID #2) Trp Ser Gly Ser His Leu Gln Arg Tyr Leu Arg Ile Trp Gly Phe Ile (Email) Leu Gly Gln Ile Val Leu Val Val Leu Arg Ile Trp Tyr Thr Ser Leu (SID #2) Leu Gly Gln Ile Val Leu Val Val Leu Arg Ile Trp Tyr Thr Ser Leu (Email) Asn Pro Ile Trp Ser Tyr Gln Met Ser Asn Lys Val Ile Leu Thr Leu (SID #2) Asn Pro Ile Trp Ser Tyr Gln Met Ser Asn Lys Val Ile Leu Thr Leu (Email) Ser Ala Ile Ala Thr Leu Asp Arg Ile Gly Thr Asp Gly Asp Cys Ser (SID #2) Ser Ala Ile Ala Thr Leu Asp Arg Ile Gly Thr Asp Gly Asp Cys Ser (Email)

sd-297603

# Exhibit C A Comparison of SEQ ID NO.:2 and the Nucleotide Sequence of the Email

Lys Pro Glu Glu Lys Lys Thr Gly Glu Val Ala Thr Gly Met Ala Ser (SID #2)

Arg Pro Asn Trp Leu Leu Ala Gly Ala Ala Phe Gly Ser Leu Val Phe (SID #2)

Leu Thr His Trp Val Phe Gly Glu Val Ser Leu Val Ser Arg Trp Ala (SID #2)

Val Ser Gly His Pro His Pro Gly Pro Asp Pro Asn Pro Phe Gly Gly (SID #2)

Cys Val Phe Ala Ile Phe Thr Ala Ser Met Trp Pro Gln Thr Leu Gly (SID #2)

Met Ile Phe Tyr Leu Leu Glu Ile Phe Phe Cys Ala Trp Cys Thr Ala (SID #2)

- 3

# Exhibit C A Comparison of SEQ ID NO.:2 and the Nucleotide Sequence of the Email

Leu Leu Gly Thr Met Met Leu Ile Ile Gly Leu Asn Met Leu Phe Gly (SID #2) Leu Leu Gly Thr Met Met Leu Ile Ile Gly Leu Asn Met Leu Phe Gly (Email) Pro Lys Lys Asn Leu Asp Leu Leu Leu Gln Thr Lys Asn Ser Ser (SID #2) Pro Lys Lys Asn Leu Asp Leu Leu Leu Gln Thr Lys Asn Ser Ser (Email) Lys Val Leu Phe Arg Lys Ser Glu Lys Tyr Met Lys Leu Phe Leu Trp (SID #2) Lys Val Leu Phe Arg Lys Ser Glu Lys Tyr Met Lys Leu Phe Leu Trp (Email) Leu Leu Val Gly Val Gly Leu Leu Gly Leu Gly Leu Arg His Lys Ala (SID #2) Leu Leu Val Gly Val Gly Leu Leu Gly Leu Gly Leu Arg His Lys Ala (Email) Tyr Glu Arg Lys Leu Gly Lys Val Ala Pro Thr Lys Glu Val Ser Ala Ala (SID #2) Tyr Glu Arg Lys Leu Gly Lys Val Ala Pro Thr Lys Glu Val Ser Ala Ala (Email) Ile Trp Pro Phe Arg Phe Gly Tyr Asp Asn Glu Gly Trp Ser Ser (SID #2) Ile Trp Pro Phe Arg Phe Gly Tyr Asp Asn Glu Gly Trp Ser Ser (Email) Leu Glu Arg Ser Ala His Leu Leu Asn Glu Thr Gly Ala Asp Phe Ile (SID #2) Leu Glu Arg Ser Ala His Leu Leu Asn Glu Thr Gly Ala Asp Phe Ile (Email) Thr Ile Leu Glu Ser Asp Ala Ser Lys Pro Tyr Met Gly Asn Asn Asp (SID #2) Thr Ile Leu Glu Ser Asp Ala Ser Lys Pro Tyr Met Gly Asn Asn Asp (Email) Leu Thr Met Trp Leu Gly Glu Lys Leu Gly Phe Tyr Thr Asp Phe Gly (SID #2) Leu Thr Met Trp Leu Gly Glu Lys Leu Gly Phe Tyr Thr Asp Phe Gly (Email) Pro Ser Thr Arg Tyr His Thr Trp Gly Ile Met Ala Leu Ser Arg Tyr (SID #2) Pro Ser Thr Arg Tyr His Thr Trp Gly Ile Met Ala Leu Ser Arg Tyr (Email) Pro Ile Val Lys Ser Glu His His Leu Leu Pro Ser Pro Glu Gly Glu (SID #2) Pro Ile Val Lys Ser Glu His His Leu Leu Pro Ser Pro Glu Gly Glu (Email)

sd-297603

# Exhibit C A Comparison of SEQ ID NO.:2 and the Nucleotide Sequence of the Email

Ile Ala Pro Ala Ile Thr Leu Thr Val Asn Ile Ser Gly Lys Leu Val (SID #2) Ile Ala Pro Ala Ile Thr Leu Thr Val Asn Ile Ser Gly Lys Leu Val (Email) Asp Phe Val Val Thr His Phe Gly Asn His Glu Asp Asp Leu Asp Arg (SID #2) Asp Phe Val Val Thr His Phe Gly Asn His Glu Asp Asp Leu Asp Arg (Email) Lys Leu Gln Ala Ile Ala Val Ser Lys Leu Leu Lys Ser Ser Ser Asn (SID #2) Lys Leu Gln Ala Ile Ala Val Ser Lys Leu Leu Lys Ser Ser Ser Asn (Email) Gln Val Ile Phe Leu Gly Tyr Ile Thr Ser Ala Pro Gly Ser Arg Asp (SID #2) Gln Val Ile Phe Leu Gly Tyr Ile Thr Ser Ala Pro Gly Ser Arg Asp Tyr Leu Gln Leu Thr Glu His Gly Asn Val Lys Asp Ile Asp Ser Thr (SID #2) Tyr Leu Gln Leu Thr Glu His Gly Asn Val Lys Asp Ile Asp Ser Thr (Email) Asp His Asp Arg Trp Cys Glu Tyr Ile Met Tyr Arg Gly Leu Ile Arg (SID #2) Asp His Asp Arg Trp Cys Glu Tyr Ile Met Tyr Arg Gly Leu Ile Arg (Email) Leu Gly Tyr Ala Arg Ile Ser His Ala Glu Leu Ser Asp Ser Glu Ile (SID #2) Leu Gly Tyr Ala Arg Ile Ser His Ala Glu Leu Ser Asp Ser Glu Ile (Email) Gln Met Ala Lys Phe Arg Ile Pro Asp Asp Pro Thr Asn Tyr Arg Asp (SID #2) Gln Met Ala Lys Phe Arg Ile Pro Asp Asp Pro Thr Asn Tyr Arg Asp (Email) Asn Gln Lys Val Val Ile Asp His Arg Glu Val Ser Glu Lys Ile His (SID #2) Asn Gln Lys Val Val Ile Asp His Arg Glu Val Ser Glu Lys Ile His (SID #2) Phe Asn Pro Arg Phe Gly Ser Tyr Lys Glu Gly His Asn Tyr Glu Asn (SID #2) Phe Asn Pro Arg Phe Gly Ser Tyr Lys Glu Gly His Asn Tyr Glu Asn (Email) Asn His His Phe His Met Asn Thr Pro Lys Tyr Phe Leu (SID #2) Asn His His Phe His Met Asn Thr Pro Lys Tyr Phe Leu (Email)

sd-297603



Home | About UPS | Contact UPS | Getting Started @ UPS.com



**UPS** Uni

| Forgot Password

Shipping

Tracking

Support **Business Solutions** 

Log-In User ID:

**Tracking** 

- → Track by Tracking Number
  - > Track by E-mail
  - > Import Tracking Numbers &
- → Track by Reference Number
- → Track by Freight Tracking Number
- → Track by Freight Shipment Reference
- → Track with Quantum View
- → Sign Up for Signature Tracking A
- → Void a Shipment A
- → Help

### Track by Tracking Number

### **View Details**

Status:

Delivered

Password:

Delivered on:

01/31/2006 3:22 P.M.

Location: Delivered to:

FRONT DOOR LOS ANGELES, CA, US

Shipped or Billed on: 01/27/2006

**Tracking Number:** Service Type:

1Z A11 70R 37 1000 968 6

2ND DAY AIR 1.00 Lb

Weight:

#### **Package Progress:**

Location	Date	Local Time	Activity
LOS ANGELES, CA, US	01/31/2006	3:22 P.M.	DELIVERY
	01/31/2006	7:01 A.M.	OUT FOR DELIVERY
	01/31/2006	5:30 A.M.	ARRIVAL SCAN
VERNON, CA, US	01/31/2006	4:50 A.M.	DEPARTURE SCAN
VERNON, CA, US	01/30/2006	10:17 P.M.	ARRIVAL SCAN
SAN DIEGO, CA, US	01/30/2006	8:12 P.M.	DEPARTURE SCAN
	01/30/2006	6:21 P.M.	ORIGIN SCAN
SAN DIEGO, CA, US	01/27/2006	5:09 P.M.	PICKUP SCAN
US	01/27/2006	8:00 P.M.	BILLING INFORMATION RECEIVED

Tracking results provided by UPS: 03/15/2006 12:21 P.M. Eastern Time (USA)

NOTICE: UPS authorizes you to use UPS tracking systems solely to track shipments tend for you to UPS for delivery and for no other purpose. Any other use of UPS tracking syste information is strictly prohibited.

← Back to Tracking Summary

Home | Shipping | Tracking | Support | Business Solutions | About UPS | Contact UPS | Register | Getting Started | Site Guide | Advan-UPS Global | UPS Corporate

Copyright © 1994-2006 United Parcel Service of America, Inc. All rights reserved. Web Site Terms of Use | Privacy Policy | Trademarks | Tariff | Terms and Conditions of Service

### **UPS CampusShip: View/Print Label**

- 1.P rint the label(s): Select the Print button on the print dialog box that appears. Note: If your browser does not support this function select Print from the File menu to print the label.
- 2.F old the printed label at the dotted line. Place the label in a UPS Shipping Pouch. If you do not have a pouch, affix the folded label using clear plastic shipping tape over the entire label.

#### **3.G ETTING YOUR SHIPMENT TO UPS**

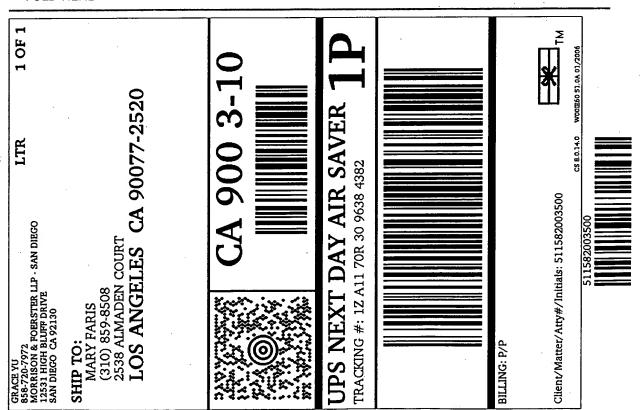
#### **Customers without a Daily Pickup**

- Schedule a same day or future day Pickup to have a UPS driver pickup all of your Internet Shipping packages.
- o Hand the package to any UPS driver in your area.
- o Take your package to The UPS Store<sup>™</sup>, Customer Center or Authorized Shipping Outlet
- o Drop off your Air Shipments including Worldwide Express SM at one of our 50,000 UPS locations.

#### **Customers with a Daily Pickup**

o Your driver will pickup your shipment(s) as usual.

#### **FOLD HERE**



From:

Yu, Grace L.

Sent:

Monday, February 06, 2006;4:53 PM

To:

'Popp, Shane' Mullen, James J.

Cc: Subject:

35.00 Declaration

Hi Shane,

Just wanted to let you know that Dr. Afar has executed and returned the Declaration. As for Dr. Faris, I left a voice-message at her residence and will follow-up accordingly. I'm keep a log of my efforts in case we are unable to obtain her signature.

Thanks, Grace

Grace Yu
Patent Legal Secretary
Morrison & Foerster LLP
12531 High Bluff Drive, Suite 100
San Diego, CA 92130
(858) 720-7972

From:

Yu, Grace L.

Sent:

Truesday, February 07, 2006 3:27 PM Mullen, James J.

To:

Subject:

35.00 Dec

I called Dr. Faris to follow-up on my message of yesterday. There was no answer, so I left a second message.

Grace

Grace Yu Patent Legal Secretary Morrison & Foerster LLP 12531 High Bluff Drive, Suite 100 San Diego, CA 92130 (858) 720-7972

From:

Yu, Grace L.

Sent:

Monday, February 13, 2006 4:51 PM

To:

Mullen, James J.

Subject:

RE: Please call Dr. Faris

Works for me.

----Original Message-----

From:

Mullen, James J.

Sent:

Monday, February 13, 2006 4:51 PM

To:

Yu, Grace L.

Subject:

RE: Please call Dr. Faris

If you send it out tomorrow, the 14th, I want it at her door when she comes home at 6PM Wednesday. However much that costs.

-----Original Message-----

From:

Mullen, James J.

Sent:

Monday, February 13, 2006 4:50 PM

To: Yu, Grace L.

Subject:

RE: Please call Dr. Faris

Overnight.

----Original Message-

From:

Yu, Grace L.

Sent:

'Monday, February 13, 2006 4:49 PM

To:

Mullen, James J.

Subject:

RE: Please call Dr. Faris

I called and left another message.

You want to do the registered mail via the USPS? Or another 2 day via UPS?

----Original Message--

From:

Mullen, James J.

Sent:

Monday, February 13, 2006 9:30 AM

To:

Yu, Grace L.

Cc:

Shane M. Popp J.D., LL.M. (spopp@agensys.com)

Subject:

FW: Please call Dr. Faris

Please call Dr. Faris again. Also, pleas send another copy of the declaration to her by certified mail. I want to file the petition to proceed without her by the end of the week, assuming she doesn't respond.

Jim

----Original Message-

From:

Yu, Grace L.

Sent:

Thursday, February 09, 2006 5:44 PM

To:

Mullen, James J.

Subject:

RE: Please call Dr. Faris

Yup. I'll make a note tomorrow.

----Original Message-----

From:

Mullen, James J.

Sent:

Thursday, February 09, 2006 5:42 PM

To:

Yu, Grace L.

Subject:

RE: Please call Dr. Faris

Thanks. Message again?

----Original Message----

From:

Yu, Grace L.

Sent:

Thursday, February 09, 2006 5:41 PM

To: Subject: Mullen, James J. RE: Please call Dr. Faris

Done.

-----Original Message-----

From:

Mullen, James J.

Sent:

Thursday, February 09, 2006 3:40 PM

To:

Yu, Grace L.

Subject:

Please call Dr. Faris

I'd like for you to call as close to your departure time as possible.

James J. Mullen III, Ph.D.

Patent Attorney

Morrison & Foerster LLP 12531 High Bluff Drive

Suite 100

San Diego, CA 92130 Direct: (858) 720-7940 Fax: (858) 523-2832

mailto:jmullen@mofo.com

# "The patent system added the fuel of interest to the fire of genius."

- Abraham Lincoln

From:

Yu, Grace L.

Sent:

Wednesday, March 15, 2006 11:48 AM

To:

Mullen, James J.

Subject:

Mary Faris

FYI: I forgot to mention to you that I made a fifth and final attempt to contact Dr. Faris about the execution of the 1.131 Declaration on February 23rd, 2006

Grace Yu
Patent Legal Secretary
Morrison & Foetster LLP
12531 High Bluff Drive, Suite 100
San Diego, CA 92130
(858) 720-7972



UNITED STALES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

OCTOBER 10, 2001

PTAS

GATES & COOPER LLP KAREN S. CANADY 6701 CENTER DRIVE WEST, SUITE 1050 LOS ANGELES, CA 90045



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/06/2001

REEL/FRAME: 012044/0106

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

RECEIVED

ASSIGNOR:

FARIS, MARY

DOC DATE: 06/06/2001

ASSIGNOR:

CHALLITA-EID, PIA M.

DOC DATE: 06/08/2001

ASSIGNOR:

MITCHELL, STEVE CHAPPELL

DOC DATE: 06/06/2001

ASSIGNOR:

AFAR, DANIEL E.H.

DOC DATE: 05/23/2001

ASSIGNOR:

RAITANO, ARTHUR B. OCT 19 7001

DOC DATE: 06/06/2001

ASSIGNOR:

MUMALULA A. T. LADIEN SAN DIZAO JAKOBOVITS, AYA

DOC DATE: 05/31/2001

ASSIGNEE:

UROGENESYS, INC. 1701 COLORADO AVENUE SANTA MONICA, CALIFORNIA 90404



012044/0106 PAGE 2

SERIAL NUMBER: 09809638

PATENT NUMBER:

FILING DATE: 03/14/2001

ISSUE DATE:

JOANN STEWART, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

G&C 129.35-US-01FORM PTO-1505 (Rev. 6-93) - - - - - OMB No. 0651-0011 (exp. 4/94) 101805748

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket-G&C 129.35-US-01 To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): 8-6-01 UroGenesys, Inc. (1) Mary Faris 1701 Colorado Avenue (2) Pia M. Challita-Eid AUG - 6 Santa Monica, CA 90404 (3) Steve Chappell Mitchell (4) Daniel E.H. Afar (5) Arthur B. Raitano (6) Aya Jakobovits Additional name(s) & address(es) attached? Additional name(s) of conveying party(ies) attached? 

No Nature of conveyance: □ Assignment Execution Date: (1) June 6, 2001, (2) June 8, 2001, (3) June 6, 2001, (4) May 23, 2001, (5) June 6, 2001, (6) May 31, 2001 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: B. Patent No.(s) A. Patent Application No.(s) Application Serial No. 09/809,638 filed March 14, 2001 Additional numbers attached? No 6. Total number of applications and patents involved: One 5. Name and address of party to whom correspondence concerning document should be mailed: \$40 7. Total fee (37 CFR 3.41): Karen S. Canady Name: Assignment recordation fee is to be charged to a Credit Address: **GATES & COOPER LLP** Card. Form PTO-2038 Credit Card Payment Form is enclosed. 6701 Center Drive West, Suite 1050 8. Please charge any additional fees or credit any Los Angeles, CA 90045 overpayments to Deposit Account No. 50-0494. DO NOT USE THIS SPACE 9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. July 30, 2001 Karen S. Canady Date Signature Name of Person Signing Total number of pages including cover sheet, attachments, and document: Four

Mail documents to be recorded with required cover sheet information to:

Director – United States Patent and Trademark Office Box Assignment Washington, D.C. 20231

Do not detach this portion

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

8/2001 LIRJELLER 00000112 09809638

C:581

40.00

#### **ASSIGNMENT**

WHEREAS, Mary Faris, Pia M. Challita-Eid, Steve Chappell Mitchell, Daniel E.H. Afar, Arthur B. Raitano, and Aya Jakobovits (hereinafter "Assignor(s)"), made certain new and useful inventions and improvements which are entitled 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN VARIOUS CANCERS and which are disclosed in:

U.S. Application Serial No. 09/809,638, filed on March 14, 2001

AND WHEREAS, <u>UroGenesys</u>, <u>Inc.</u>, a corporation organized and existing under and by virtue of the laws of the State of <u>California</u>, and having an office and place of business at <u>1701</u>

<u>Colorado Avenue</u>, <u>Santa Monica</u>, <u>California</u> <u>90404</u> (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, Assignor(s) have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, Assignor(s) do hereby agree that they and their executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to

1 1 11/11

Assignor(s) relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date:	6.6.01	Signature: Many Faris
Date:	6/8/01	Signature: Pia M. Challita-Eid
Date:	46/2001	Signature: Mtr mitting Name: Steve Chappell Mitchell
Date:		Signature: Name: Daniel E.H. Afar
Date:	6/6/201	Signature: Arthur B. Raitano  Name: Arthur B. Raitano
Date:	31/01	Signature: Aya Jakobovits

G&C 129.35-US-01

Assignor(s) relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date:	Signature:_	
	Name:	Mary Faris
		• .
Date:	Signature:_	
		Pia M. Challita-Eid
Date:	Signature:_	
		Steve Chappell Mitchell
//		(1) M
Date: May 23, 2007	Signature:_	Vary My
	Name:	Daniel E.H. Afar
•		,
Date:	Signature:_	
	Name:	Arthur B. Raitano
Date:	Signature:	· · · · · · · · · · · · · · · · · · ·
	Name:	Aya Jakobovits

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Mary Faris et al.

Examiner:

Not yet assigned

Serial No.:

09/809,638

Group Art Unit:

1645

Filed:

March 14, 2001

Docket:

G&C 129.35-US-01

Title:

125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN VARIOUS CANCERS

#### CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director – United States Patent and Trademark Office, Washington, D.C. 20231 on <u>July 30, 2001</u>.

By: 1 S Name: Karen S. Canady

#### **BOX ASSIGNMENT**

Director – United States Patent and Trademark Office Washington, D.C. 20231

Dear Sir: '

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.

Assignment of the invention to Agensys, Inc. and a corresponding Recordation Form Cover Sheet.

PTO-2038 Credit Card Payment Form for the amount of \$40.00 for the Assignment Recordation Fee is enclosed herewith.

Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

#### **CUSTOMER NUMBER 22462.**

#### **GATES & COOPER LLP**

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797

Name: Karen S. Canady

Reg. No.: 39,927

KSC/sjm

#### **GATES & COOPER LLP**

#### **United States Patent Application**

#### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### 125P5C8: A TISSUE SPECIFIC PROTEIN HIGHLY EXPRESSED IN VARIOUS CANCERS

The specification of which:							
a. is attached hereto.							
b. was filed on March Number solicit a United States paten	14, 2001 as United States Applica _ and was amended ontt.	tion Number 09/809,638 or (if applicable), which I	PCT International Application have reviewed and for which I				
	viewed and understand the conter amendment referred to above.	nts of the above-identified spe	ecification, including the				
	disclose information which is mate eral Regulations, § 1.56 (attached h	-	s application in accordance				
application(s) for patent or one country other than the for patent or inventor's cert of which priority is claimed	I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT application having a filing date before that of the application on the basis of which priority is claimed:						
a. X no such applications b. Such applications hav							
FOREIGN AF	PLICATION(S), IF ANY, CLA	AIMING PRIORITY UND	DER 35 USC § 119				
COUNTRY							
			Description (C)				
OTHER FOREIGN A	PPLICATION(S), IF ANY, FIL	ED BEFORE THE PRIO	RITY APPLICATION(S)				
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)				

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or 365(c) of any PCT international application(s) designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose

material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. PARENT APPLICATION OR PCT PARENT NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Registration No. 33,500
Registration No. 39,641
Registration No. 39,927
Registration No. 42,236
Registration No. 39,187
Registration No. 45,472
Registration No. 36,856

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Gates & Cooper LLP to the contrary.

Please direct all correspondence in this case to the firm of Gates & Cooper LLP at the address indicated below:

GATES & COOPER LLP Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

		[			
(1)		Family Name	First Given Name	Second Given Name	
	Of Inventor	FARIS	MARY	·	
H			<del> </del>		
	Residence	City	State or Foreign Country	Country of Citizenship	
- 1	& Citizenship	Los Angeles	California	U.S.A.	
	Post Office	Post Office Address	City	State & Zip Code/Country	
	Address	2538 Almaden Court	Los Angeles	California 90077/U.S.A.	
Sign	nature of Invent	or(1):		Date: 6.6-01	
		113			
(2)	Full Name	Family Name	First Given Name	Second Given Name	
ì	Of Inventor	CHALLITA-EID	PIA	М.	
L			·		
	Residence	City	State or Foreign Country	Country of Citizenship	
- 1	& Citizenship	Encino	California	LEBANON	
Γ	Post Office	Post Office Address	City	State & Zip Code/Country	
	Address	15745 Morrison Street.	Encino	California 91436/U.S.A.	
Sign	nature of Invente	or(2):	4 0.1	Date:	
8		or(2): 1-callally	Lei		
(3)	Full Name	Family Name	First Given Name	Second Given Name	
(3)	Of Inventor	MITCHELL	STEVE	CHAPPELL	
		1.	012.2		
F	Residence	City	State or Foreign Country	Country of Citizenship	
	& Citizenship	Santa Monica	California	U.S.A.	
_ <b> </b> -	Post Office	Post Office Address	City	State & Zip Code/Country	
	Address	2417-1/2 4th Street	Santa Monica	California 90405/USA	
	nature of Invento	(2)		Detail /	
Sigi	nature of invento	or sur moto	how	bate: 6/6/200/	
(4)	Full Name	Family Name	First Given Name	Second Given Name	
• •	Of Inventor	AFAR	DANIEL.	E.H.	
		11111		`	
	Residence	City	State or Foreign Country	Country of Citizenship	
	& Citizenship	Brisbane	California	CANADA	
-	Post Office	Post Office Address	City	State & Zip Code/Country	
	Address	435 Vistacion Avenue	Brisbane	California 945005/U.S.A.	
			1 Description		
Signature of Inventor(4):  Date:				vall.	
<b>(5)</b>	Full Name	Family Name	First Given Name	Second Given Name	
` '	Of Inventor	RAITANO	ARTHUR	B.	
	Or Inventor	MIMO	1 Mellion	<b>1 2</b> ·	
<u> </u>	Residence	City	State or Foreign Country	Country of Citizenship	
	& Citizenship	Los Angeles	California	U.S.A.	
	Post Office	Post Office Address	City	State & Zip Code/Country	
- 1			Los Angeles	California 90064/U.S.A.	
Signature of Inventor (4): Date: 6/6/2001				Date: 6/6/2001	
	0/6/700/				

` '	Full Name	Family Name	First Given Name	Second Given Name		
	Of Inventor	FARIS	MARY	ľ		
L	· · · · · · · · · · · · · · · · · · ·					
	Residence	City	State or Foreign Country	Country of Citizenship		
-	& Citizenship	Los Angeles	California	U.S.A.		
- 1	Post Office	Post Office Address	City	State & Zip Code/Country		
	Address	2538 Almaden Court	Los Angeles	California 90077/U.S.A.		
Sign	nature of Invento	or(1):		Date:		
(2)	Full Name	Family Name	First Given Name	Second Given Name		
` '	Of Inventor	CHALLITA-EID	PIA	M.		
	Residence	City	State or Foreign Country	Country of Citizenship		
ŀ	& Citizenship	Encino	California	LEBANON		
	Post Office	Post Office Address	City	State & Zip Code/Country		
	Address	15745 Morrison Street.	Encino	California 91436/U.S.A.		
Sign	nature of Invento	or(2):		Date:		
			I			
` 1	Full Name	Family Name	First Given Name	Second Given Name		
	Of Inventor	MITCHELL	STEVE	CHAPPELL		
	Residence	City	State or Foreign Country	Country of Citizenship		
-   .	& Citizenship	Santa Monica	California	U.S.A.		
	Post Office	Post Office Address	City	State & Zip Code/Country		
	Address	2417-1/2 4th Street	Santa Monica	California 90405/USA		
Signature of Inventor(3):				Date:		
(4)	Full Name	Family Name	First Given Name	Second Given Name		
	Of Inventor	AFAR ·	DANIEL	E.H.		
		111 1111				
	Residence	City	State or Foreign Country	Country of Citizenship		
	& Citizenship	Brisbane	California	CANADA		
_	Post Office	Post Office Address	City	State & Zip Code/Country		
- 1	Address	435 Vistacion Avenue	Brisbane	California 945005/U.S.A.		
	ature of Invento					
		or(4): Daniel M		Date: 23,200		
(5)	Full Name	Family Name	First Given Name	Second Given Name		
	Of Inventor	RAITANO	ARTHUR	B.		
	-					
	Residence	City	State or Foreign Country	Country of Citizenship		
1	& Citizenship	Los Angeles	California	U.S.A.		
	Post Office	Post Office Address	City	State & Zip Code/Country		
- 1	Address	10807 Cushdon Avenue	Los Angeles	California 90064/U.S.A.		
Signature of Inventor(4):				Date:		
				1		

(6)	Full Name	Family Name	First Given Name	Second Given Name	
	Of Inventor	JAKOBOVITS	AYA	·	
	D - 11	Cir	Carana Erraina Comman	C	
l I	Residence	City	State or Foreign Country	Country of Citizenship	
	& Citizenship	Beverly Hills	California	U.S.A.	
	Post Office	Post Office Address	City	State & Zip Code/Country	
	Address	3135 Hutton Drive	Beverly Hills	California 90210/U.S.A.	
Sig	Signature of Inventor(6): Date:				
L	Aya Jerhobe ? 5/31/01				
		1 ()			

#### § 1.56 Duty to disclose information material to patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) it refutes, or is inconsistent with, a position the applicant takes in:
    - (i) opposing an argument of unpatentability relied on by the Office, or
    - (ii) asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) each inventor named in the application:
  - (2) each attorney or agent who prepares or prosecutes the application; and
  - (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.